

Documents Requirement

In Case of Corporate:

Copies of the following documents may be obtained after due verification with the originals thereof

1. Copies of the balance sheet for the last 2 financial years (copies of annual balance sheet to be submitted every year)
2. Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company, duly certified by the company secretary/ Whole-time director/MD. (Copy of updated shareholding pattern to be submitted every year)
3. Copies of the Memorandum and Articles of Association in case of a company / body incorporate / partnership deed in case of a partnership firm
4. Copy of the Resolution of board of directors' approving participation in equity / derivatives / debt trading and naming authorized persons for dealing in securities.
5. Photographs of Partners/Whole time directors, individual promoters holding 5% or more, either directly or indirectly, in the shareholding of the company and of persons authorized to deal in securities.
6. Document of Mandatory policies and procedures
7. Document on Investor Education on Prevention of Money Laundering Act

DARASHAW & COMPANY PVT. LTD.



8. Signature of the Clients on the following pages at the places marked 'X'

1. Member Client Agreement
2. Corporate Client Registration Form.
3. On Disclosure regarding proprietary trading.
4. On Agreements and Risk Disclosure Documents.
5. On Annexure D-2, D-3,D-4, D-5,D-6 (Voluntary)
6. Mandatory Policies and Procedures
7. Signature for acknowledging the receipt of Investor Education

DARASHAW & COMPANY PVT. LTD.

Registered Office: 3, Rajesh Mansion, Dinshaw Vachha Road, Mumbai 400 020
Corporate Office: 1205-06 Regent Chambers, 208 Nariman Point, Mumbai 400 021
Tel no: +91 67470538/39 Fax no: +91 67470529